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ROLLOVER/TRANSFER CERTIFICATION FORM FOR THE PURCHASE OR REINSTATEMENT OF SERVICE

File this form with your application to purchase service if you plan to pay in full or in part for the service with an eligible rollover distribution of pre-tax funds from a qualified retirement account, or with an in-service trustee-to-trustee funds transfer.

See the *Rollover/Transfer Certification Information Sheet* before completing this form. Complete Section A and forward to your financial institution. A representative of your financial institution must complete Section B and return the form to PSRS with payment. Per IRS guidelines, PSRS can only accept an amount equal to or less than the balance due for a purchase or reinstatement. Any amount received in excess of the balance due will be returned to the financial institution. Please make checks payable to **PSRS of Missouri**.

SECTION A – MEMBER INFORMATION

First Name		Middle Name	Last Name	
Member ID (or Last 4 Digits of Social Security Number)		Account ID	Telephone ()	
Mailing Address		City	State	ZIP
Email Address				

ROLLOVER/TRANSFER INFORMATION

Qualified Plan or IRA Custodian (Funds will be rolled over or transferred from this financial institution.)

Check here if the rollover was distributed to you, the member, under the 60-day rule. If unsure, contact the financial institution.

MEMBER CERTIFICATION

I certify that:

- I have read and understand the *Rollover/Transfer Certification Information Sheet* and the information on this form.
- I understand that any indirect rollover contribution must be made within 60 days after I receive a distribution of such amounts.
- I acknowledge that anyone who makes a false statement or causes or permits submission of falsified records to PSRS in an attempt to defraud is subject to punishment under the law.
- I am aware that the amount of my rollover/transfer contribution will be held and later distributed in accordance with the terms of PSRS.
- I give PSRS permission to speak to this financial institution regarding payoff amounts.
- These funds have not been previously taxed.

Digital Signatures Not Accepted – Original (Written) Member Signature Required

X

Date

SECTION B – FINANCIAL INSTITUTION CERTIFICATION

This section must be completed by the financial institution and returned to PSRS with payment. If unable to complete this section, please see Step 5 on the Information Sheet.

The qualified plan or IRA named above is (check one):

An eligible plan under Internal Revenue Code, Sections 401(a) [including a pension], 401(k) [or profit sharing], 403(a), 403(b) or 457(b) distributed as a direct rollover or an in-service trustee-to-trustee transfer.

A traditional IRA under Code Section 408(a), an individual retirement annuity under Code Section 408(b), which only includes pre-tax contributions, distributed as a direct rollover.

Unable to verify the tax status of the funds in the qualified plan.

Digital Signatures Not Accepted – Original (Written) Signature of Financial Institution Representative Required

X

Date

Printed Name and Title

Financial Institution

Telephone
()



To Whom It May Concern,

The Public School Retirement System of Missouri (PSRS) is a 401(a) governmental defined benefit program under the Internal Revenue Code. For the purchase or reinstatement of service, we can accept rollovers from other 401(a) qualified plans. Generally, this includes the following:

- A 401(a) tax qualified plan, including a Keogh plan which meets additional requirements pertaining to owner-employees
- A 403(a) qualified annuity plan
- A 408(a) individual retirement account or a 408(b) individual retirement annuity, to the extent that the IRA contains funds that have not been previously taxed
- A 403(b) qualified plan
- All state and local government 457(b) deferred compensation plans
- Any other plans or accounts that may be authorized as a source of eligible funds under the Internal Revenue Code, provided PSRS shall not be obligated to accept any funds from any such authorized plan or account if the funds would jeopardize the tax-qualified status of PSRS
- The member, if the amount was distributed to the member from a qualified plan and is rolled over by the member to PSRS within 60 days of that distribution, and if the member's check is accompanied by proof of rollover eligibility

In addition, we can accept in-service trustee-to-trustee transfers from 403(b) and governmental 457 plans for the purchase or reinstatement of service.

Upon receipt in this office of the *Rollover/Transfer Certification Form* and a valid purchase or reinstatement application from the member, we can accept qualified funds as payment for the purchase or reinstatement of eligible service with this retirement system. **IRS guidelines do not allow us to accept funds in excess of the balance due.** Any amount received in excess of the balance due will be returned to the financial institution. Please make checks payable to *PSRS of Missouri*.

Sincerely,

A handwritten signature in black ink that reads "Amanda L. Bryant". The signature is written in a cursive style and is positioned below the word "Sincerely,".

Amanda L. Bryant
Benefits Supervisor